

CHB Risk Register summary

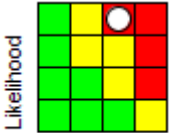
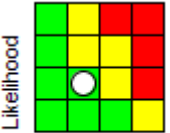
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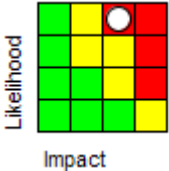
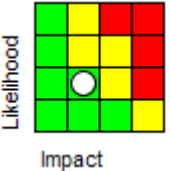


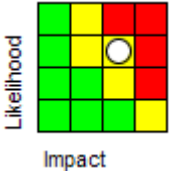
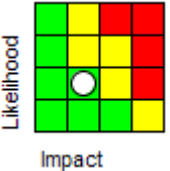
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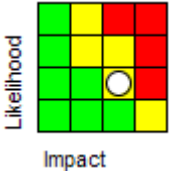
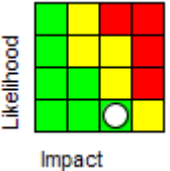
Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update	Target Risk Score	Target date	Risk Trend		
CHB001 Oracle ERP Delivery Chamberlain's Creation Date 09-Mar-2015	<p>Cause: System functionality not delivered, tested and deployed. Oracle Bugs and Service Requests (SRs) not resolved.</p> <p>Event: The Oracle Programme fails to deliver full functionality within planned timetable.</p> <p>Effect: Service Charge recovery fails. Closing of accounts and year end activity compromised. Adverse Audit Opinion.</p>		16	Peter Kane	The programme team continues to work to resolve defects and oversee the development of the additional modules and reporting functionality by PwC. The Programme Manager and Property leads both resigned in May, both posts have been filled by CoL staff. 779 Defects have been resolved, 112 remain outstanding, of these 58 are with CoL Oracle Support. The volume of BAU work and outstanding functional specifications requiring sign off dictates the end of July		4	31-Jul-2015	↔

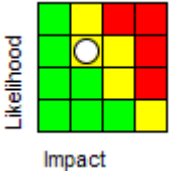
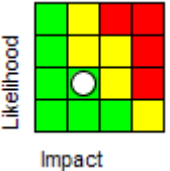
					planned closure will not be achieved. The programme team are focused on bringing back the end date. The quarterly billing run (£32 million) was successfully run on the 10th June. Steering Group (or a subset of senior sponsors) continues to meet weekly to monitor hand-over activities and progress.				
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CHB005 Police IT Provision Chamberlain's Creation Date 11-Mar-2015	<p>Cause: The Police IT estate has seen underinvestment over the past few years effecting Service reliability and resiliency and weakening DR capabilities.</p> <p>Event: Critical failure of the Police IT Service.</p> <p>Effect: Loss of communications or operational effectiveness. Reputational damage. Possible failure of critical policing activities.</p>	 <p>Likelihood</p> <p>Impact</p>	16	Graham Bell	The Agilsys Service take on from Dec 2014 has 8 mandatory projects design to improve the Police IT Infrastructure. A Joint Network Refresh has also been initiated to update and renew the Police network both between and within Buildings. Taken together these two project will greatly improve the IT service and reduce the risk to an acceptable level.	 <p>Likelihood</p> <p>Impact</p>	4	31-Dec-2015	↔

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CHB008 Resourcing (UNDER REVIEW) Chamberlain's Creation Date 13-May-2015	Cause: Market conditions create misalignment in salaries for key posts. Event: Inability to recruit suitably skilled and experienced staff. Effect: Inability to meet the service requirements of today and the future.	 Likelihood Impact	16	Peter Kane	This risk is currently under review.	 Likelihood Impact	4	31-Mar-2016	↔

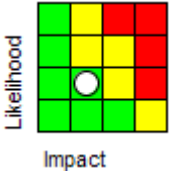
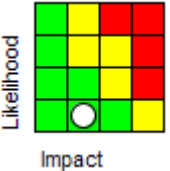
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CHB002 Oracle ERP Business Benefits Chamberlain's Creation Date 09-Mar-2015	<p>Cause: Plan not in place/not validated by the business users. Inadequate governance arrangements in place.</p> <p>Event: Failure to deliver required efficiencies and future revenue savings as part of the major project to upgrade CBIS to Oracle R12 and the implementation of an Enterprise Resource Planning (ERP) system, consolidating other key systems and processes as appropriate. Expected to Change: Following stabilisation post go-live.</p> <p>Effect: Efficiencies not delivered. System developments not controlled, resulting in proliferation of customisation or developments that deviate from core strategy.</p>		12	Peter Kane	Business benefits realisation work cannot commence until CHB001 closes, the earliest that this could start is 1 August 2015, although consideration must be given to the impact of sustained change on those colleagues involved in development activity and continuation of the delivery of phase 1b activity. Commencement of benefits realisation work is likely to be deferred further.		4	31-Dec-2015	↔

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CHB004 COL Police PSN Compliance Chamberlain's Creation Date 09-Mar-2015	<p>Cause: Increasingly demanding PSN compliance requirements. Inadequate security of network infrastructure.</p> <p>Event: PSN non-compliance. Expected to Change: July 2015.</p> <p>Effect: Increased cost of maintaining connection to the Public Service Network (PSN) or possible disconnection. Loss of accreditation, network connection terminated.</p>		8	Graham Bell	IL4 security missed out of assessment, this will be rectified within four months.		4	31-Jul-2015	↔

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CR14 Funding Reduction Chamberlain's Creation Date 22-Jun-2015	Cause: Reduced funding from Central Government. Event: Reduced funding available to the City Corporation. Effect: City Corporation will be unable to maintain a balanced budget and healthy reserves in City Fund, significantly impacting on service delivery levels.		6	Peter Kane	<p>The financial strategy already addresses this risk for City Fund. Following the service based review and inclusion of these savings in budget estimates, the City Fund (non-Police) remains in balance or close to breakeven across the period. Savings begin to be reflected in the budget for 2015/16, approved by the Court, with full impact by or before 2017/18. There are risks around the implementation of the saving proposals and the achievement of savings will be monitored by the Efficiency and Performance Sub Committee on a regular basis. As savings proposals are implemented, this risk will ultimately reduce further to GREEN.</p> <p>For City Fund (Police), deficits are forecast across the period with draw down of reserves. The Commissioner is currently</p>		4	31-Mar-2018	↔

				drawing up saving proposals that will be available before the summer recess, the strategy of draw down on reserves is as planned, however the extent of draw down is more than expected.				
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CHB006 IT Service Outage Chamberlain's Creation Date 09-Mar-2015	<p>Cause: Major information systems outage (e.g. network/technology infrastructure failure. Poor recovery time.</p> <p>Event: Disruption to IT service delivery. Expected to Change: on IAAS project completion. New risk raised in respect of the IAAS programme.</p> <p>Effect: Provision of service operations compromised.</p>	<p>Likelihood</p> <p>Impact</p>	4	Graham Bell	The Joint Operational Review Improvement Plan has identified a number of improvements, either planned or underway, to strengthen the governance, capacity and technology of the IT service.	<p>Likelihood</p> <p>Impact</p>	2	31-Oct-2015	↓

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CR16 Information Security Chamberlain's Creation Date 22-Sep-2014	<p>Cause: Breach of IT Systems resulting in unauthorised access to data by internal or external sources.</p> <p>Officer/ Member mishandling of information.</p> <p>Event: Cyber security attack – unauthorised access to COL IT systems. Loss or mishandling of personal or commercial information.</p> <p>Effect: Failure of all or part of the IT Infrastructure, with associated business systems failures.</p> <p>Harm to individuals, a breach of legislation such as the Data Protection Act 1988. Incur a monetary penalty of up to £500,000. Compliance enforcement action. Corruption of data. Significant reputational damage.</p>		4	Graham Bell	Mandatory training programme now complete. Structure of policies and guidelines shortly to be signed off by the IT Steering Group.		2	31-Jan-2016	↔

